

James A. Osuna
Inspector General

Richmond City Council

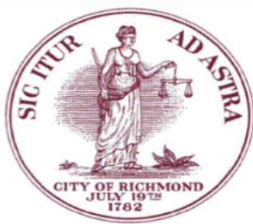
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Richmond, Virginia

Office of the Inspector General

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Jim Osuna
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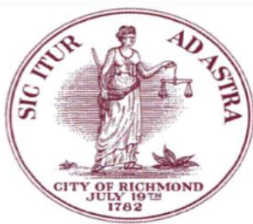
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Office of the Inspector General

Mission: To detect and prevent fraud, waste, and abuse, and to promote economy, efficiency, and effectiveness in the programs and operations of the City of Richmond Government thru independent and objective investigations, inspections, and assistance.

Vision: To be a highly effective organization that promotes positive change throughout the City of Richmond Government and organizations with a professional and skilled team that strives for continuous improvement



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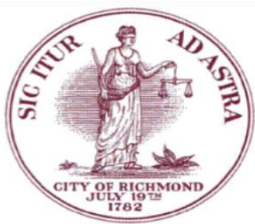
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FY20 OIG Case Load:

- 64 Intakes
- 28 Cases Opened
- 17 Currently Open Cases
- 11 Closed Cases
- 4 Substantiated Cases
- 2 Unsubstantiated Cases
- 1 Inconclusive
- 4 Cases Referred

OIG investigations resulted in \$2.5 million in findings.



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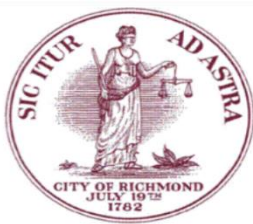
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OIG Observation Trends

- **Nepotism**
- **Improper use of designated funds**
- **Toxic/Abusive work environment**
- **Inappropriate travel reimbursement claims**
- **Fraudulent unemployment filing during COVID**
- **Inappropriate use of authority by supervisors**
- **Fraudulent use of minority participation and contracts**



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Integrity

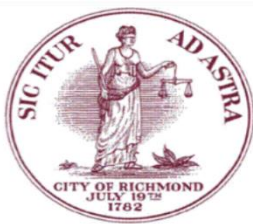
- We are honest, ethical, and objective
- We hold ourselves to high standards and are willing to take tough stands.
- We honor our commitment to the Richmond City Council and the citizens of the City of Richmond.

Excellence

- We deliver timely and relevant products and services.
- We are forward-looking, striving to improve the City of Richmond Government performance.

Accountability

- We operate as an independent, transparent, and trusted agent serving the Richmond City Council and the citizens of the City of Richmond.
- We are passionate about delivering results that drive positive change throughout the city government.
- We are trustworthy and can be counted on to do what we say.



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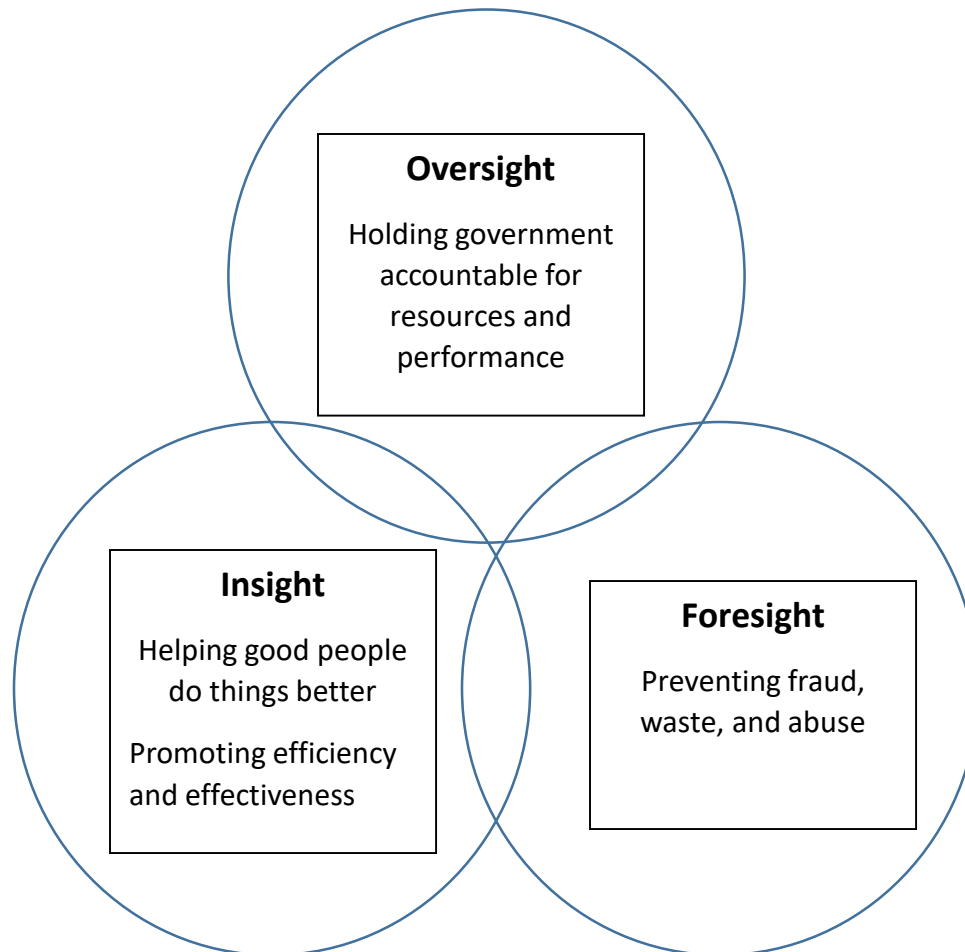
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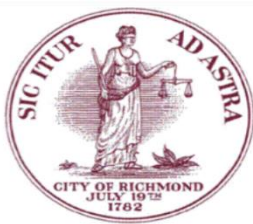
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Enhancing Public Trust in Government





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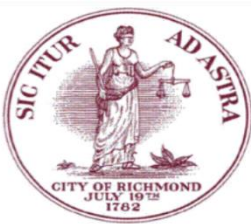
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Office of the Inspector General

The Office of Inspector General's Responsibility/Authorities

- To audit, inspect, evaluate and investigate the activities, records and individuals affiliated with contracts and procurements undertaken by the City and any other official act or function of the City.
- To conduct criminal, civil and administrative investigations relating to the municipal affairs of the City.
- To engage in prevention activities, including, but not limited to: The review of legislation; the review of rules, regulations, policies, procedures and transactions; and Training and education.
- To refer matters for further civil, criminal and administrative action to appropriate administrative and prosecutorial agencies.
- To conduct joint investigations and projects with other oversight or law enforcement agencies.
- To issue public reports.
- Establish a "hotline" to receive complaints, from either anonymous or identified persons.
- Have full and unrestricted access to records.
- Subpoena witnesses.
- Administer oaths in taking statements.
- Coordinate with the Richmond City Council and the City of Richmond Administration to develop public awareness strategies to inform government officials and employees, as well as the general public, of the authority and responsibilities of the OIG.



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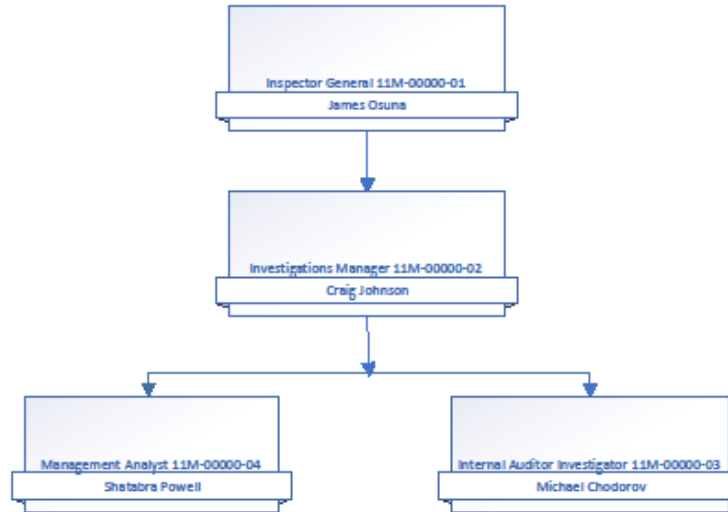
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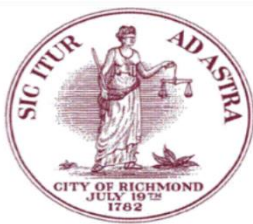
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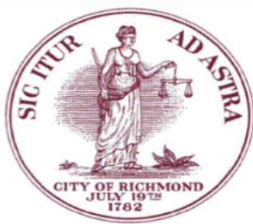
INVESTIGATIONS

The OIG conducts investigations based on

1) allegations/complaints received or 2) self-initiated from red flags discovered in our reviews or through “data mining.”

- Any individual may file a complaint with the OIG. He or she may do so in person, by telephone, fax, mail, or by completing the electronic complaint form found on our website. Additionally, a complaint may be filed anonymously.

While OIG investigations are administrative in nature, criminal violations are sometimes discovered during the investigative process. When a determination has been made that the subject of an investigation has potentially committed a criminal violation, those findings can be investigated by the OIG or can also be coordinated with federal, state, and local law enforcement agencies or are referred directly to the Assistant U.S. Attorney and the Commonwealth Attorney’s Office for criminal investigation and prosecution.



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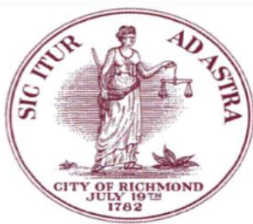
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OUTREACH, EDUCATION, AND PREVENTION

Outreach is an important component of OIG operations, and takes place both inside and outside of government. OIG outreach includes education on what we do; common trends and best practices; red flags to assist in spotting fraud, waste, and abuse; and, ways to contact our office. OIG outreach plays an important role in the prevention of fraud, waste, and abuse, as well as, promoting efficiency, effectiveness, and integrity in government. Our outreach program emphasizes two-way sharing of information. Our success depends on listening as much as speaking.



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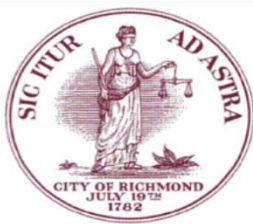
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IG's Teach & Train . . .

- **Skills, provide information, and assess attitudes while assisting investigating, and inspecting**
- **Non-IG's on how the IG system operates**
- **Leaders / workers / citizens how IG's contribute to city accomplishment and the IG system's purpose, functions, methods, benefits, and constraints**
- **Incorporate teaching / training into every aspect of the IG functions**



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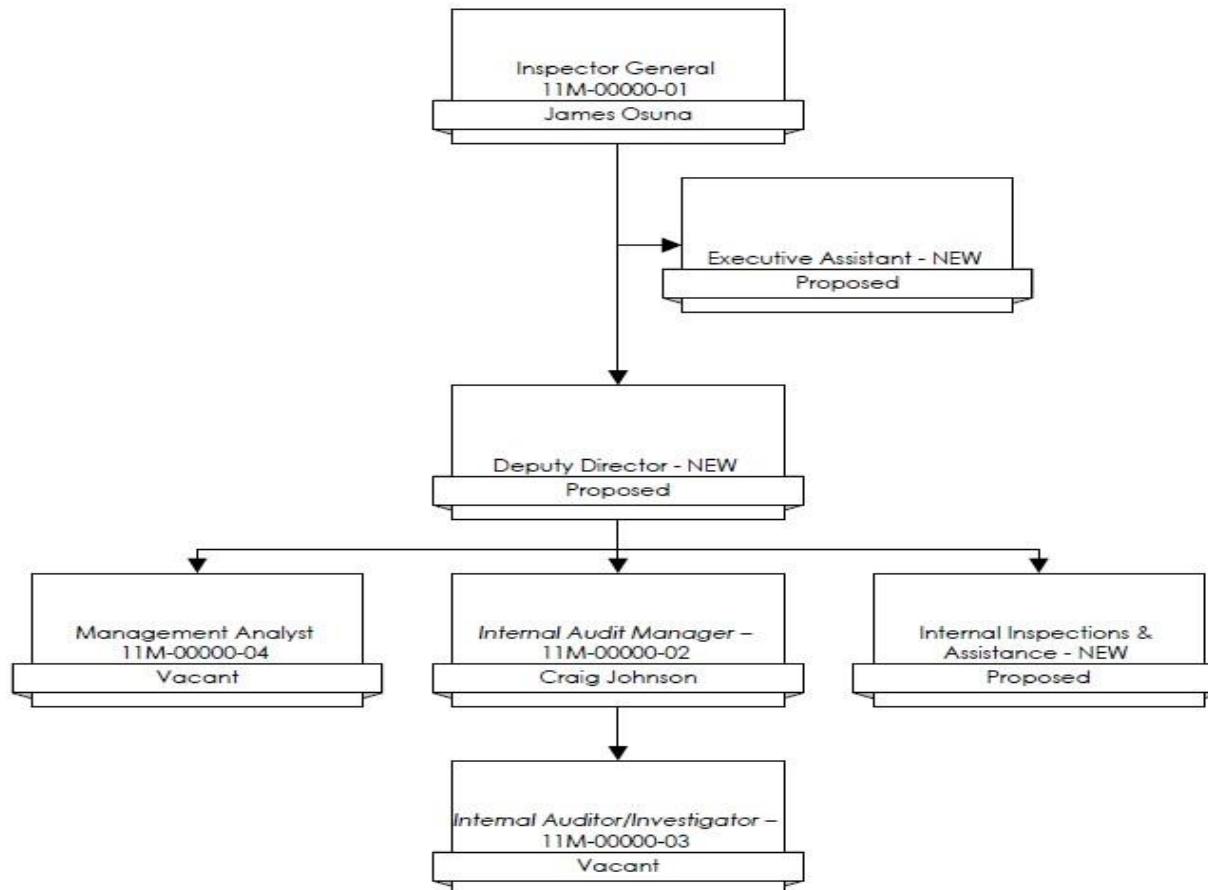
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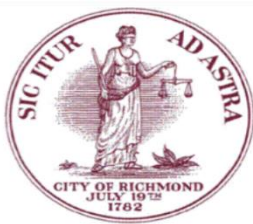
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Proposed Organizational Chart





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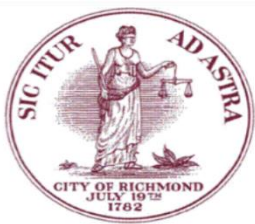
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CONTRACT OVERSIGHT

The Contract Oversight (CO) is responsible for reviewing procurement and contracting activities of the City of Richmond and government entities within the OIG's jurisdiction. The goal of the CO is to promote competition, transparency, accountability, integrity, and efficiency throughout the procurement and contracting processes. To that end, we:

- Periodically attend contract selection meetings and provide feedback, where appropriate;
- Conduct contract oversight reviews of an entity's procurement process which may result in recommendations to address shortcomings, irregularities, and/or opportunities for improvement;
- Promote full and open competition and arm's-length negotiations with vendors and contractors so that public funds are used in the most efficient and effective manner.
- Review meeting agendas and minutes to identify areas or situations where the integrity of the procurement process may be at risk.
- Respond to requests for assistance from entities under our jurisdiction and to citizen and vendor complaints;
- Provide relevant data/information that supports effective procurement practices; and,
- Conduct/provide procurement and fraud awareness training.



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Questions?