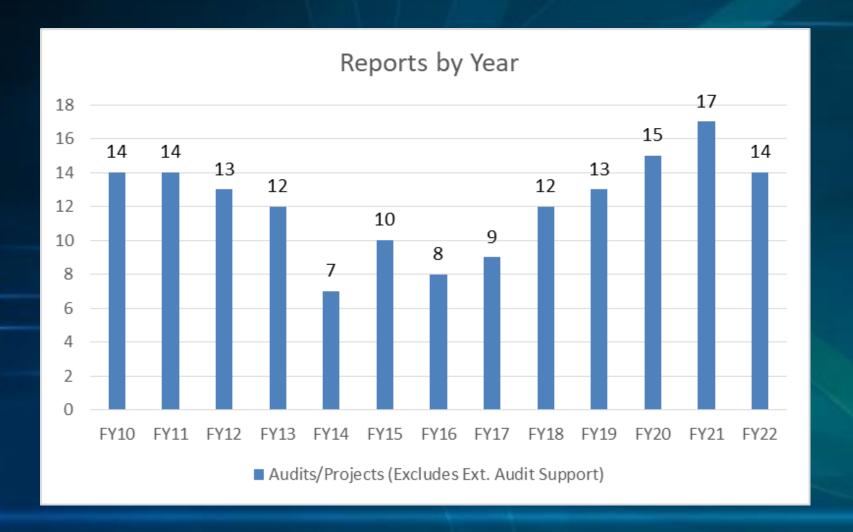
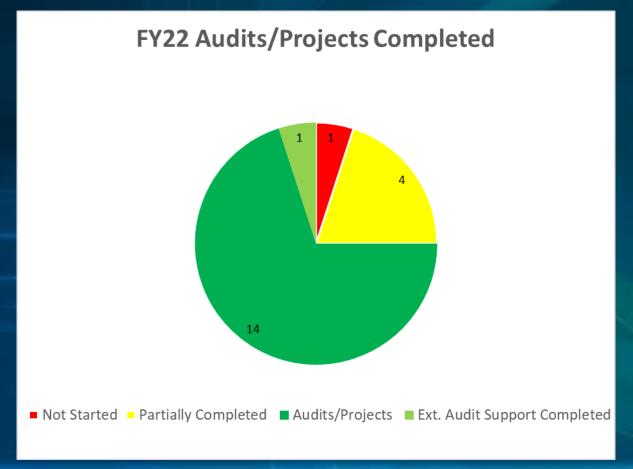
FY2022 City Auditor Update

FY22 Audit Results – Reports Issued



Completed 89% of the scheduled audit plan as of 6/30/22, with four audits partially completed

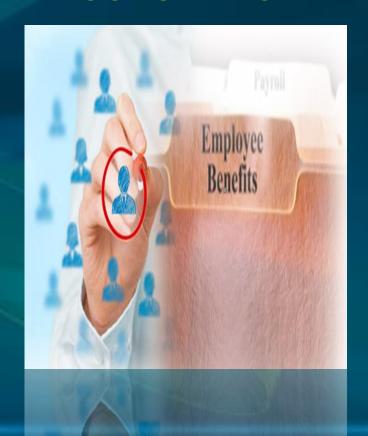


APA Comparative Cost	Report Issued
IT Project Management	Report Issued
DPW - Contracts	Report Issued
External Audit Support	Completed
Police Training Records	Report Issued
Annual Follow up	Report Issued
Community Wealth Building	Report Issued
Homelessness Programs	Report Issued
Service Efforts & Accomplishments (SEA)	Report Issued
HR Benefits Reconciliations	Report Issued
COVID-19 Programs CARES	Report Issued
Emergency Communications - Tower Leases	Report Issued
Fiscal Review City Wide	Report Issued
Continuous Auditing	Report Issued
Special Projects	Completed
Cybersecurity Network Vulnerability Assessment	In Process
DPU - Contracts	In Process
Procurement Contract Administration	In Process
Graffiti Removal	In Process
Stimulus Funds - American Rescue Plan	Not Started

POLICE TRAINING



HR BENEFITS RECONCILIATION



DPW CONTRACTS



COVID-19 PROGRAMS CARES



IT PROJECT MANAGEMENT



EMERGENCY COMMUNICATIONS TOWER LEASES



CITY FISCAL& EFFICIENCY REVIEW



SERVICE EFFORTS & ACCOMPLISHMENTS COMMUNITY SURVEY

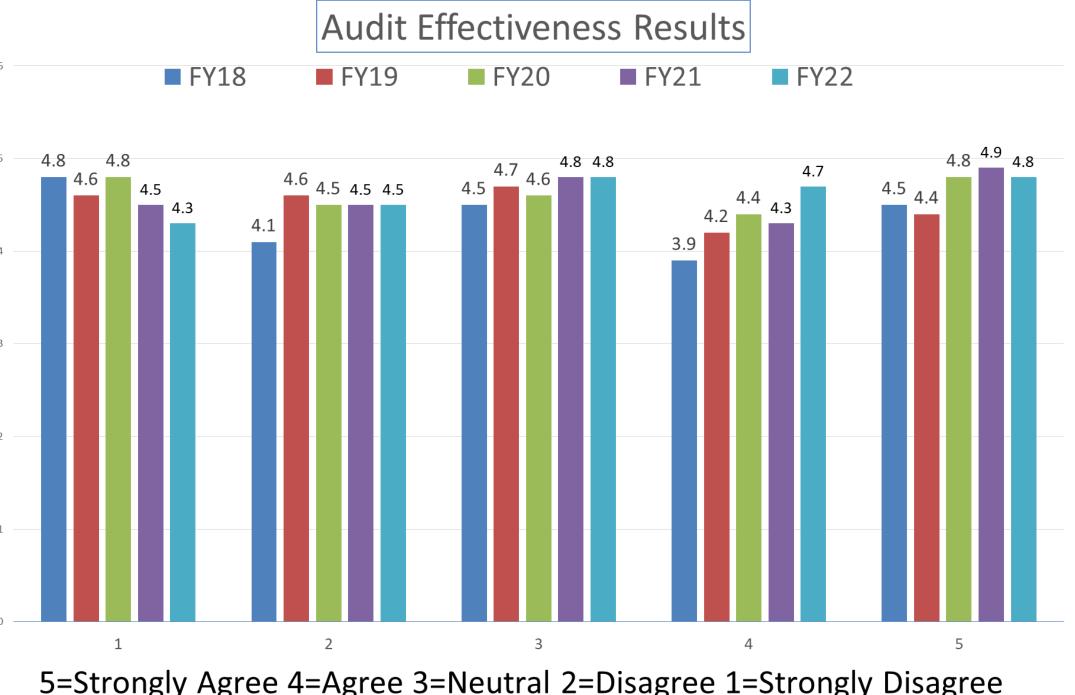


Richmond, VAThe National Community Survey

Report of Results

Audit Effectiveness Questionnaire.

- 1. The various phases of the audit and scope were clearly explained prior to starting the audit work.
- 2. The audit staff exhibited sufficient technical skills and obtained knowledge of the work and systems of areas being audited.
- 3. The audit staff shared the audit findings to department management for discussion and revision throughout the audit process.
- 4. The audit report was accurate and concisely written but had the appropriate level of detail to support the audit findings.
- 5. The audit was conducted in a professional manner and staff were fair and reasonable while conducting the audit.

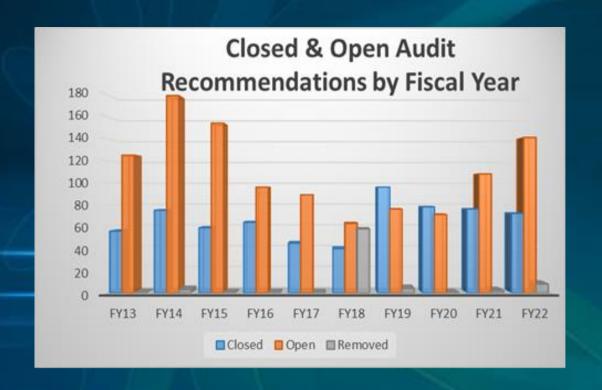


5=Strongly Agree 4=Agree 3=Neutral 2=Disagree 1=Strongly Disagree

- Audits identified \$656,000 of cost savings/dollar impacts.
- Continue to maintain compliance to Government Audit Standards and passed our three year Peer Review last fall.
- Policy/Procedures manual to comply with new Government Audit Standards issued by GAO.

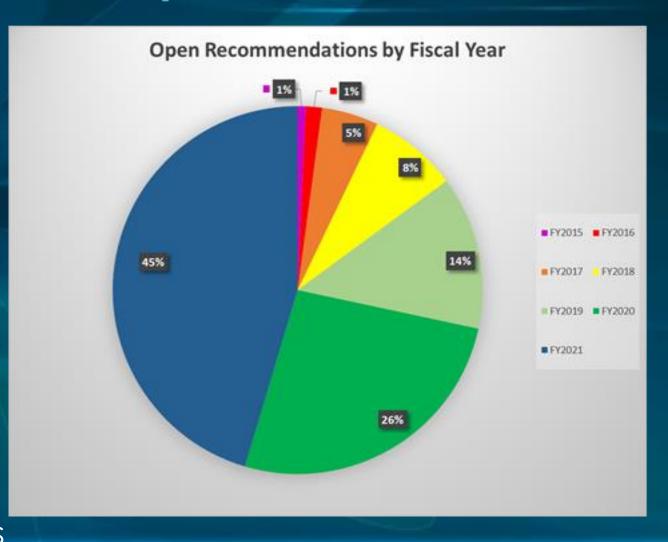
Recommendation Implementation Trend

► Audit recommendations received a 99% concurrence rate (86/87).



FY22 Audit Results Follow-Up Results

- There were 309 concurred recommendations from FY2019 – FY2021
- During those periods, 236 recommendations were closed or removed
- Ongoing audit activity always leaves a percentage of recommendations open
- From FY22 follow up over 85% of open recommendations were from the last three years, with the majority in the last two fiscal years



Professional Certifications of Staff

- Certified Public Accountants 3
- Certified Internal Auditors 2
- Certified Fraud Examiners 3
- Certified Government Audit Professionals 3
- Certified Information Systems Auditors 1
- Certified Government Financial Managers -1
- Masters Degrees 4

Moving Forward

- FY23 Audit Plan has been approved and we have begun audit work.
- External Audit Contract was renewed in FY22 and audit work beginning.
- Will continue to work on critical cyber security areas through audits with IT audit vendors.
- Currently working with the Administration on open audit recommendations and issues regarding closure status.

QUESTIONS