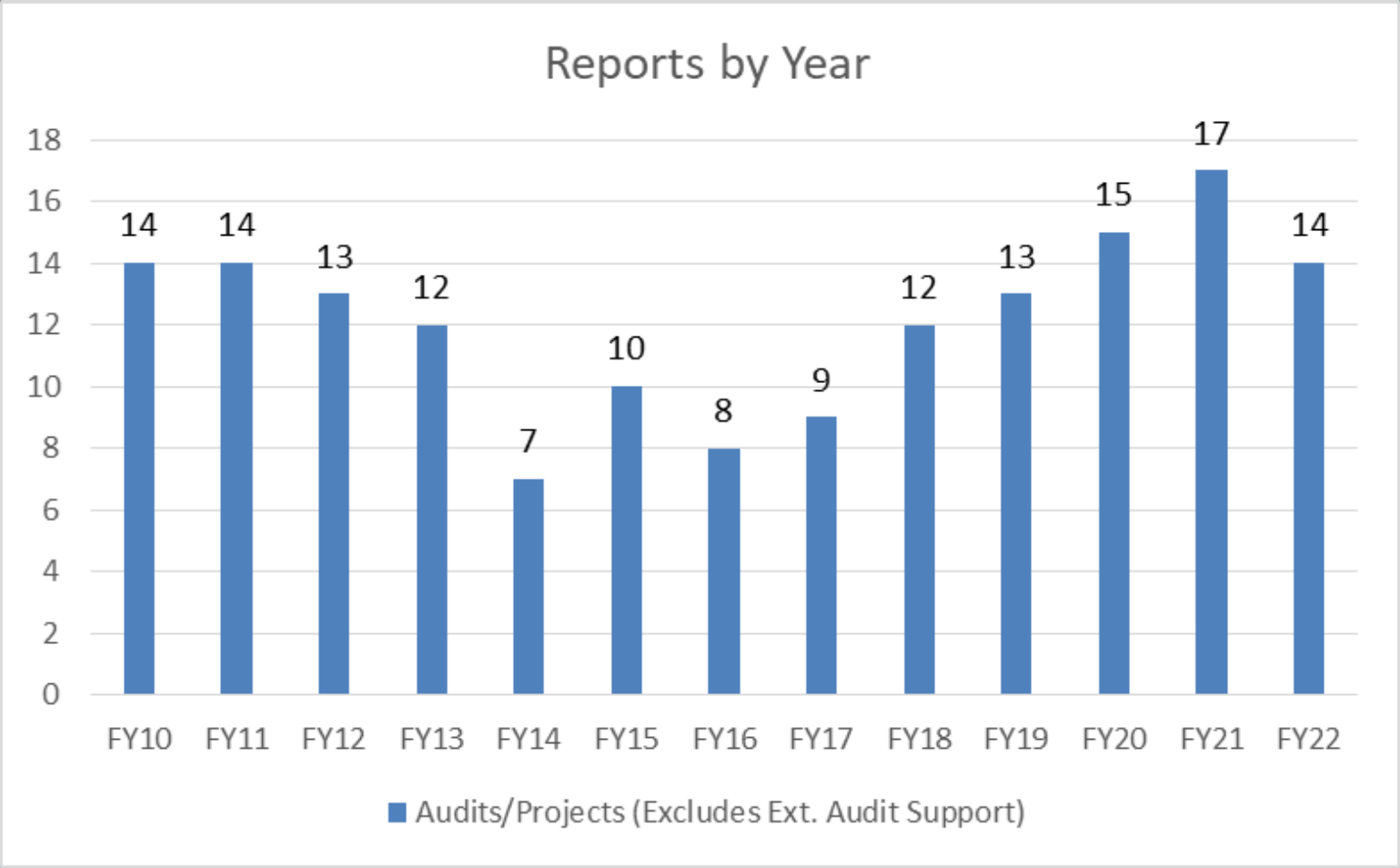




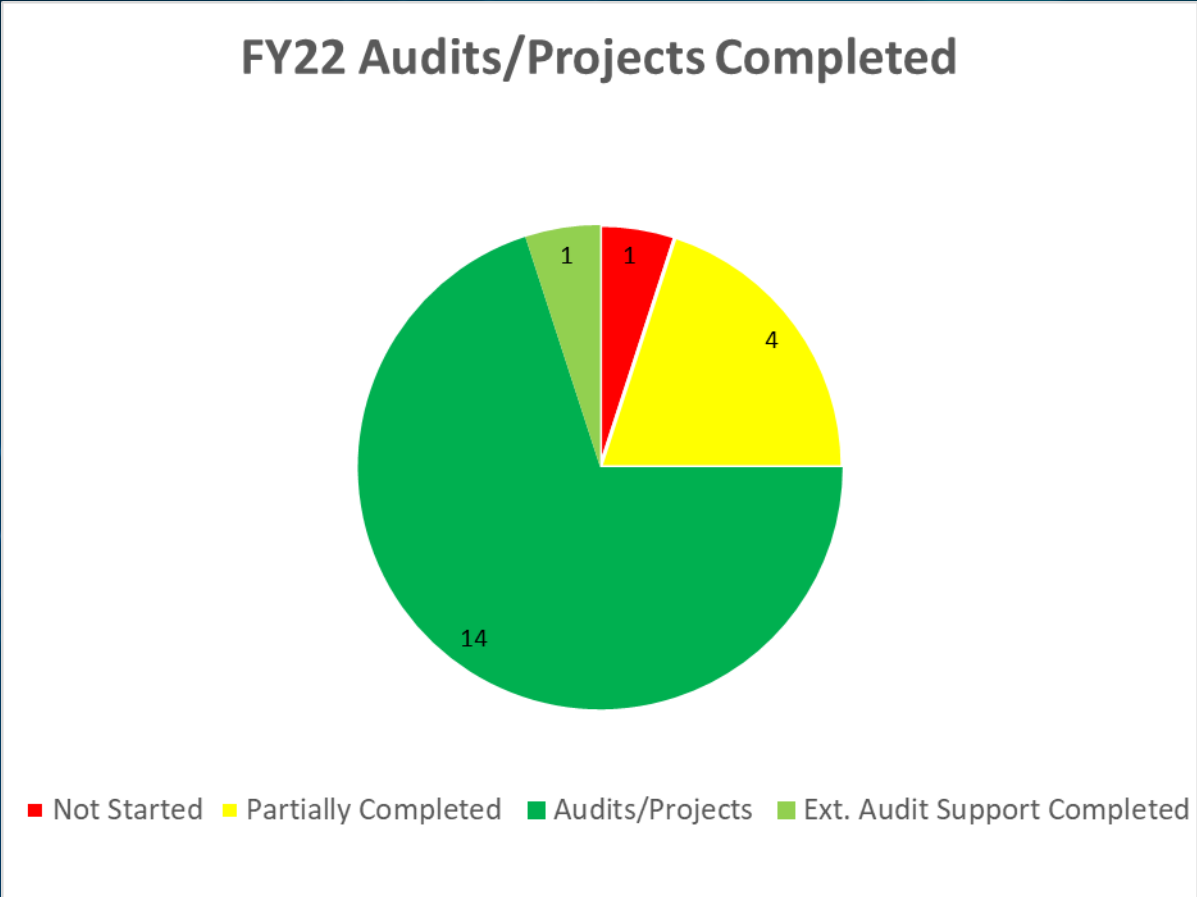
FY2022 City Auditor Update

FY22 Audit Results – Reports Issued



FY22 Audit Results

Completed 89% of the scheduled audit plan as of 6/30/22, with four audits partially completed



FY22 Audit Results

APA Comparative Cost	Report Issued
IT Project Management	Report Issued
DPW - Contracts	Report Issued
External Audit Support	Completed
Police Training Records	Report Issued
Annual Follow up	Report Issued
Community Wealth Building	Report Issued
Homelessness Programs	Report Issued
Service Efforts & Accomplishments (SEA)	Report Issued
HR Benefits Reconciliations	Report Issued
COVID-19 Programs CARES	Report Issued
Emergency Communications - Tower Leases	Report Issued
Fiscal Review City Wide	Report Issued
Continuous Auditing	Report Issued
Special Projects	Completed
Cybersecurity Network Vulnerability Assessment	In Process
DPU - Contracts	In Process
Procurement Contract Administration	In Process
Graffiti Removal	In Process
Stimulus Funds - American Rescue Plan	Not Started

FY2022 In Review

POLICE TRAINING



HR BENEFITS
RECONCILIATION

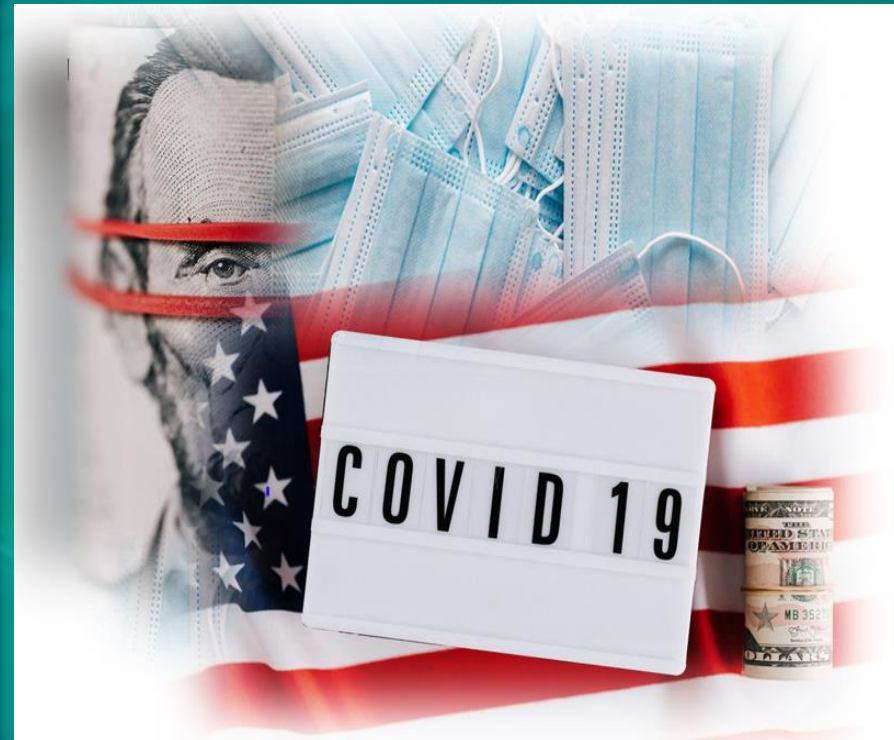


FY2022 In Review

DPW CONTRACTS



COVID-19 PROGRAMS CARES



FY2022 In Review

IT PROJECT MANAGEMENT

EMERGENCY
COMMUNICATIONS TOWER
LEASES



FY2022 In Review

CITY FISCAL & EFFICIENCY
REVIEW

SERVICE EFFORTS &
ACCOMPLISHMENTS
COMMUNITY SURVEY



THE NCS[™]
THE NATIONAL COMMUNITY SURVEY[™]

Richmond, VA
The National Community Survey

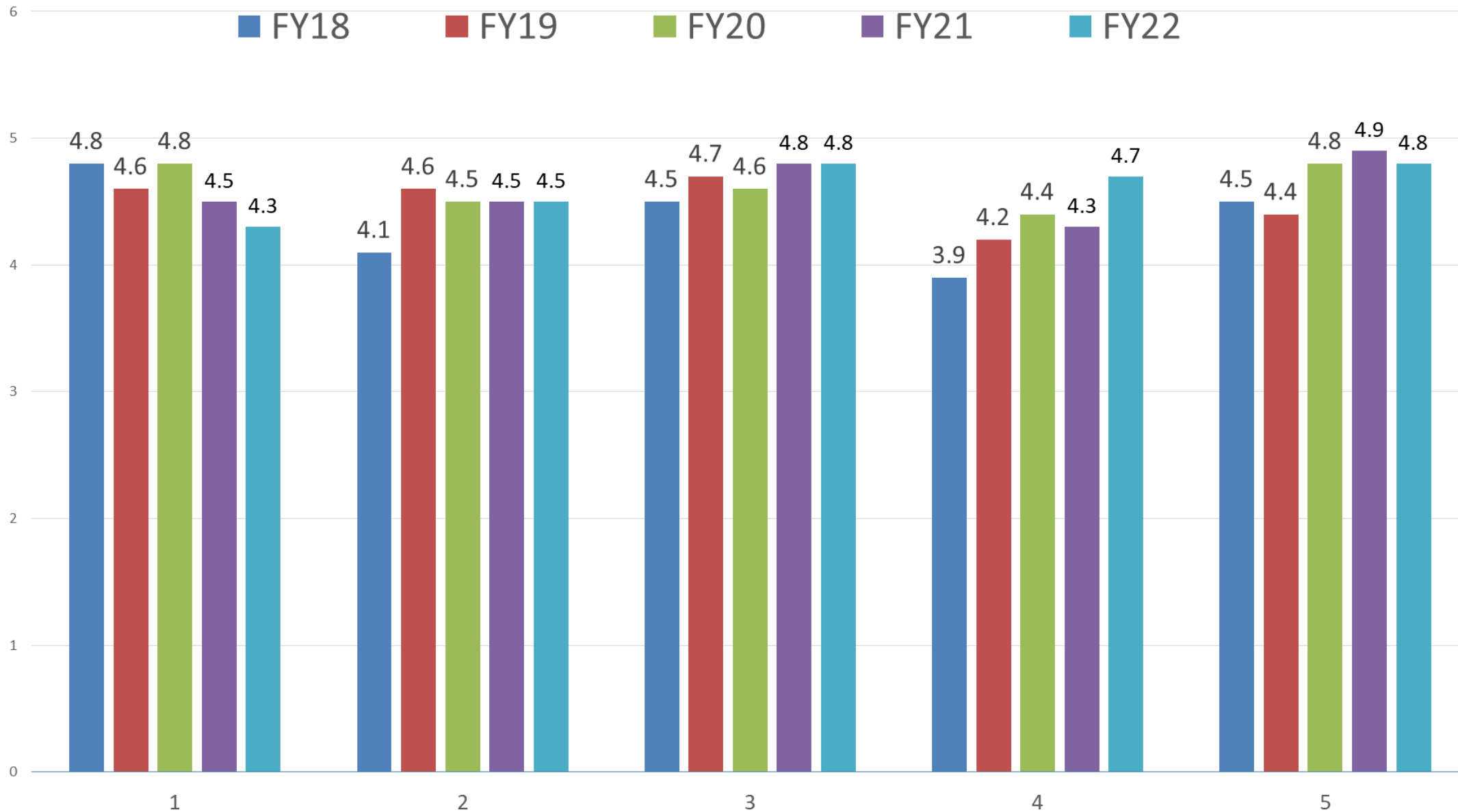
Report of Results
2021

FY22 Audit Results

Audit Effectiveness Questionnaire.

1. The various phases of the audit and scope were clearly explained prior to starting the audit work.
2. The audit staff exhibited sufficient technical skills and obtained knowledge of the work and systems of areas being audited.
3. The audit staff shared the audit findings to department management for discussion and revision throughout the audit process.
4. The audit report was accurate and concisely written but had the appropriate level of detail to support the audit findings.
5. The audit was conducted in a professional manner and staff were fair and reasonable while conducting the audit.

Audit Effectiveness Results



5=Strongly Agree 4=Agree 3=Neutral 2=Disagree 1=Strongly Disagree

FY22 Audit Results

- Audits identified \$656,000 of cost savings/dollar impacts.
- Continue to maintain compliance to Government Audit Standards and passed our three year Peer Review last fall.
- Policy/Procedures manual to comply with new Government Audit Standards issued by GAO.

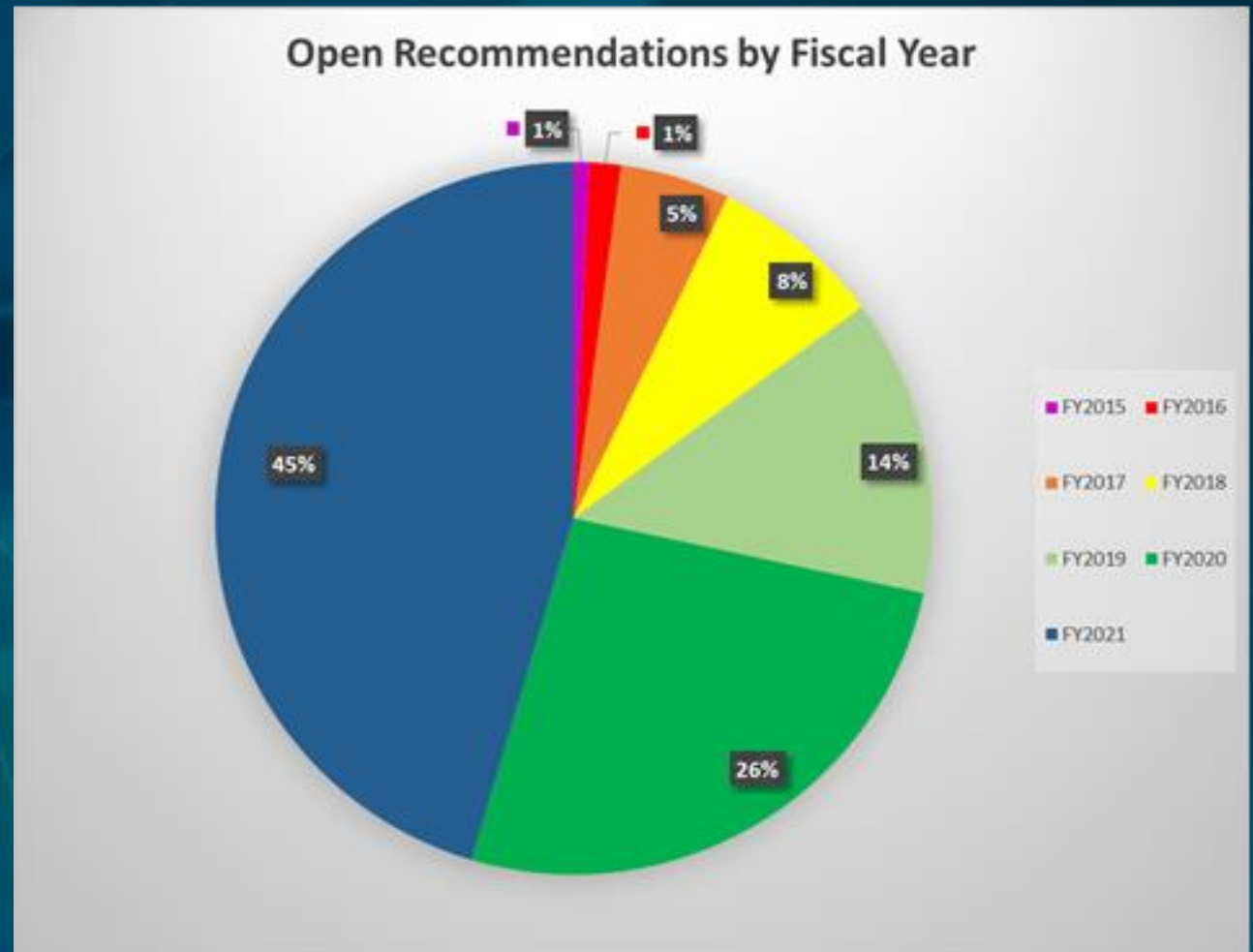
Recommendation Implementation Trend

- ▶ Audit recommendations received a 99% concurrence rate (86/87).



FY22 Audit Results Follow-Up Results

- There were 309 concurred recommendations from FY2019 – FY2021
- During those periods, 236 recommendations were closed or removed
- Ongoing audit activity always leaves a percentage of recommendations open
- From FY22 follow up over 85% of open recommendations were from the last three years, with the majority in the last two fiscal years



FY22 Audit Results

Professional Certifications of Staff

- Certified Public Accountants – 3
- Certified Internal Auditors – 2
- Certified Fraud Examiners – 3
- Certified Government Audit Professionals – 3
- Certified Information Systems Auditors – 1
- Certified Government Financial Managers -1
- Masters Degrees – 4

Moving Forward

- FY23 Audit Plan has been approved and we have begun audit work.
- External Audit Contract was renewed in FY22 and audit work beginning.
- Will continue to work on critical cyber security areas through audits with IT audit vendors.
- Currently working with the Administration on open audit recommendations and issues regarding closure status.

QUESTIONS